



IBM Systems - iSeries

Programming  
Extensible Markup Language (XML)

*Version 5 Release 4*







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**Note**

Before using this information and the product it supports, read the information in “Notices,” on page 13.

**Fifth Edition (February 2006)**

This edition applies to version 5, release 4, modification 0 of i5/OS (product number 5722-SS1) and to all subsequent releases and modifications until otherwise indicated in new editions. This version does not run on all reduced instruction set computer (RISC) models nor does it run on CISC models.

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## Extensible Markup Language (XML)

Extensible Markup Language (XML) allows you to describe and organize information in ways that are easily understandable by both humans and computers. You can then share that information and its description with others over the Internet, an extranet, network, or in other ways.

**Note:** The XML parsers for ILE C, C++, COBOL, and RPG that were introduced and integrated into the operating system in V5R1 have been replaced by the licensed program offering (LPO) XML Toolkit for iSeries™ (5733-XT1). Although service programs QXML4C310 and QXML4PR310 still exist in library QSYS in releases prior to V5R3M0, you are encouraged to use the newer parsers provided by the XML Toolkit for iSeries LPO.

### Related concepts

XML Toolkit for iSeries (5733-XT1)

### Related information

XML for iSeries

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## Printable PDF

Use this to view and print a PDF of this information.

To view or download the PDF version, see rzakl.pdf (about 200 KB).

## Other information

You can also view or print any of these Redbook PDFs:


- The XML Files: Using XML for Business-to-Business and Business-to-Consumer Applications (about 3 MB) 
- AS/400® XML in Action: PDML and PCML (about 3.5 MB) 
- Integrating XML with DB2® XML Extender and DB2 Text Extender (about 4.5 MB) 

## Saving PDF files

To save a PDF on your workstation for viewing or printing:

1. Right-click the PDF in your browser (right-click the link above).
2. Click the option that saves the PDF locally.
3. Navigate to the directory in which you want to save the PDF.
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## Downloading Adobe Reader

- 1 You need Adobe Reader installed on your system to view or print these PDFs. You can download a free copy from the Adobe Web site ([www.adobe.com/products/acrobat/readstep.html](http://www.adobe.com/products/acrobat/readstep.html)) .

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## Using XML

This topic explains what XML is, what extensions and companion standards it uses, and what it can do for you.

XML, like Standard Generalized Markup Language (SGML), is a metalanguage. A metalanguage allows you to define a document markup language and its structure. For example, both XML and Hypertext Markup Language (HTML) are derived from SGML.

You can use XML to create your own markup language that includes a set of rules and tags that describe information suited to your needs, for example, name, title, address, and zip code. You define this markup language in a document type definition (DTD) that functions as the standard way to describe your information. Using XML to share standardized information you are no longer required to write programs to focus on proprietary software or convert and translate different data formats.

You and others can use the DTD to tag information that you can then use in a variety of ways: printed on an address label, business card, or stationary; displayed in a Web page; or sorted in a list of data with similar attributes.

For example, you might want to create an efficient way to share information (for example, purchase orders, shipping acknowledgments, order status, and stock status) with your partners and suppliers. You can use XML to share that information by creating and using XML documents that conform to your DTD, in which you specify the standard for the electronic exchange of information.

Although both XML and HTML use tags to describe content, they are also very different:

- HTML describes how to format information for display and is meant for computer-to-human interaction.
- XML describes what the information is and is meant for computer-to-computer interaction.

## **Advantages of XML**

Using XML offers advantages over using HTML to exchange information.

Using XML to exchange information offers many benefits, including the following:

- Uses human, not computer, language. XML is readable and understandable, even by novices, and no more difficult to code than HTML.
- Completely compatible with Java™ and 100% portable. Any application that can process XML (on any operating system) can use your information.
- Extendable. Create your own tags, or use tags created by others, that use the basic language of your domain, have the attributes you need, and make sense to you and your users.



The following example illustrates, in a simplified way, the readability and extensibility of XML:

HTML example	XML example
<pre> &lt;HTML&gt; &lt;H1 ID="MN"&gt;State&lt;/H1&gt; &lt;H2 ID="12"&gt;City&lt;/H2&gt; &lt;DL&gt;   &lt;DT&gt;Name&lt;/DT&gt;   &lt;DD&gt;Johnson&lt;/DD&gt;   &lt;DT&gt;Population&lt;/DT&gt;   &lt;DD&gt;5000&lt;/DD&gt; &lt;/DL&gt; &lt;H2 ID="15"&gt;City&lt;/H2&gt; &lt;DL&gt;   &lt;DT&gt;Name&lt;/DT&gt;   &lt;DD&gt;Pineville&lt;/DD&gt;   &lt;DT&gt;Population&lt;/DT&gt;   &lt;DD&gt;60000&lt;/DD&gt; &lt;/DL&gt; &lt;H2 ID="20"&gt;City&lt;/H2&gt; &lt;DL&gt;   &lt;DT&gt;Name&lt;/DT&gt;   &lt;DD&gt;Lake Bell&lt;/DD&gt;   &lt;DT&gt;Population&lt;/DT&gt;   &lt;DD&gt;20&lt;/DD&gt; &lt;/DL&gt; &lt;/HTML&gt; </pre>	<pre> &lt;?XML VERSION="1.0" STANDALONE="yes" ?&gt; &lt;STATE STATEID="MN"&gt;   &lt;CITY CITYID="12"&gt;     &lt;NAME&gt;Johnson&lt;/name&gt;     &lt;POPULATION&gt;5000&lt;/POPULATION&gt;   &lt;/CITY&gt;   &lt;CITY CITYID="15"&gt;     &lt;NAME&gt;Pineville&lt;/NAME&gt;     &lt;POPULATION&gt;60000&lt;/POPULATION&gt;   &lt;/CITY&gt;   &lt;CITY CITYID="20"&gt;     &lt;NAME&gt;Lake Bell&lt;/NAME&gt;     &lt;POPULATION&gt;20&lt;/POPULATION&gt;   &lt;/CITY&gt; &lt;/STATE&gt; </pre>

HTML tag names reveal nothing about the meaning of their content. The previous example uses an HTML definition list, but the problems inherent in using HTML occur if the data were contained in a table or some other kind of HTML tags: For example:

- Many the HTML tags are acronyms, therefore they are not as readable as common language.
- HTML tags represent data (in this example, city names and populations) as items to display, for example, as definitions in a list or cells in a table. This makes it difficult to manipulate the data or exchange it between applications.

The XML tag names are readable and convey the meaning of the data. Each XML tag immediately precedes the associated data, helping to make the information structure easily discerned by both humans and computers. The data structure follows a noticeable and useful pattern, making it easy to manipulate and exchange the data.

## Uses of XML

XML has a variety of uses, including:

- **Web publishing:** XML allows you to create interactive pages, allows the customer to customize those pages, and makes creating e-commerce applications more intuitive. With XML, you store the data once and then render that content for different viewers or devices based on style sheet processing using an XSL/XSLT processor.
- **Web searching and automating Web tasks:** XML defines the type of information contained in a document, making it easier to return useful results when searching the Web:
  - For example, using HTML to search for books authored by Tom Wolf is likely to return instances of the term 'wolf' outside of the context of author. Using XML restricts the search to the correct context (say, the information contained in the <author> tag) and returns only the required type of information. Using XML, Web agents and robots (programs that automate Web searches or other tasks) will be more efficient and produce more useful results.
- **General applications:** XML provides a standard method to access information, making it easier for applications and devices of all kinds to use, store, transmit, and display data.

- **e-business applications:** XML implementations make electronic data interchange (EDI) more accessible for information interchange, business-to-business transactions, and business-to-consumer transactions.
- **Metadata applications:** XML makes it easier to express metadata (Unified Modeling Language design models or user interface properties, for example) in a portable, reusable format.
- **Pervasive computing:** XML provides portable and structured information types for display on pervasive (wireless) computing devices such as PDAs, cellular phones, and others.
  - For example, WML (Wireless Markup Language) and VoiceXML are currently evolving standards for describing visual and speech-driven wireless device interfaces.

## XML standards and extensions

Other standards and extensions to XML work together to make your information more portable and useful.

You need to know about these standards and extensions in order to do the following:

- Use XML with your Java, C++, RPG, and COBOL programs
- Perform complex data searches in XML documents
- Display XML data on different types of devices
- Provide your XML documents with orderly linking capabilities
- Produce standard structures for related DTDs

XML is very good for describing information, but it cannot do everything. For example, XML documents do not contain the kind of information that current browsers and many other devices require to display it in a useful way. The same is true for linking to other information, transporting XML data so that it can be used in a meaningful way by the receiving application, and so on.

The XML community has and continues to develop standards and extensions to expand the capabilities of XML:

- “APIs”
- “XSL and XSLT” on page 5
- “XLink” on page 5
- “XPath and XPointer” on page 5
- “Namespaces and XML Schema” on page 6

## APIs

Application programming interfaces (APIs) allow applications to work with XML information using a standard set of portable interfaces. For more information, see XML tools integrated in i5/OS™ for links to API documentation for a supported parser.

## DOM and DOM Level 2

The Document Object Model (DOM) API enables you to build XML documents as well as parse them. With these interfaces you can access, manipulate, and create XML documents (and the data within) as programming objects that have methods and events. Your programs can construct or change a DOM tree in memory and then persist that DOM tree to a file or stream. DOM is best suited for instances where you will parse few XML documents but require extensive control over the contents.

## SAX

The Simple API for XML (SAX) is a read-only, single-pass interface best suited for processing many documents or very large documents. You can use this API to extract information from the XML documents, but you cannot use it to add data to or change the content of the XML documents. The SAX

API is event-driven, notifying your application when certain events happen as it parses your document. For example, your application might need to know when the parser encounters the start or end of an element node. Note that it is your application that must keep the necessary state information to determine the content and context of these XML events.

For links to more information about the DOM and SAX APIs, see the XML related information.

For the most current versions of these APIs, see the XML Toolkit for iSeries (5733-XT1) product documentation.

## **XSL and XSLT**

Extensible Stylesheet Language (XSL) and Extensible Stylesheet Language Transformations (XSLT) work in combination for you to display XML data in a variety of ways, for example, in a browser or on a PDA, or printed in a brochure. With XSL and XSLT processing you can transform an XML message or document from one XML markup language to another, which has key applications in e-business.

A detailed explanation of the mechanics of this process is beyond this article. Briefly, however, the process has two basic components:

- Use XSL stylesheets to define a set of patterns and templates you want to use to replace XML elements. A pattern identifies the XML element, and the corresponding template is used by an XSL processor, like Xalan (which is included in i5/OS), to actually replace the XML element. For example, you can transform data elements in an XML document to display appropriately, say, in a browser or mailing label.
- Use XSLT documents to transform the hierarchical tree of XML data into a different kind of tree, reordering elements as required. For example, you can add a table of contents or an index to a set of data that does not have one. You can also use XSLT to transform the grammar of XML documents. For example, you can transform the grammar for a set of incoming XML request documents to a different XML grammar required by the receiving application.

These technologies do more than format the display of an XML document, they change it so that it becomes a different kind of document. In conjunction with other XML tools and extensions, such as parsers and XLink, you can produce documents formats such as specific word processing formats, PDF, HTML, and more.

For links to more information about XSL and XSLT, see the XML related information.

## **XLink**

XML Linking Language (XLink) enables you to link your XML document to other resources on the Web, including files of just about any format, database searches, and so on. Moreover, you can link to the structure of the resource, not a predetermined place holder, like an HTML <A NAME> anchor tag. Multiple links allow users to traverse the linked information in any order based on restrictions that you specify.

For links to more information about XLink, see the XML related information.

## **XPath and XPointer**

XML Path Language (XPath) and XML Pointer Language (XPointer) enable you to search for and identify data in the hierarchical XML document structure.

XPath defines a syntax for locating data in an XML document. (Both XSLT and XPointer use XPath.) XPath defines an XML document as a hierarchy of nodes, with the top node being the root. Just like using a regular expression finds one or more patterns in text, using XPath finds patterns in data within the nodes of one or more XML documents.

XML Pointer Language (XPointer) extends XPath to enable locating specific portions of data (called fragments) based on XML attribute values, types, content, or relative position. These fragments can be separate pieces of data, a range of information between two points, or a continuous series of elements.

For links to more information about XPath and XPointer, see the XML related information.

## Namespaces and XML Schema

Namespaces are pointers (URIs) that enable you to differentiate between duplicate XML elements or attribute names, a situation that can occur when using XSLT stylesheets or more than a single DTD. For example, the `<code>` element from one DTD might mean something very different from a `<code>` element in another DTD. To avoid name collisions and ambiguity, giving each URI a unique local name makes it simple to distinguish between the different namespaces.

XML Schema Language defines the logical structure of an XML document, much like a DTD.

The significant difference between DTDs and XML Schemas are that schemas:

- Are written as XML markup language itself, making them extensible, unlike DTDs
- Focus on the problem of cardinality, enabling the enumeration of minimum and maximum allowed elements
- Allow constraints on values
- Allow additional data types and definitions of datatypes that can be inherited

All of these enhancements giving you more control over the allowable content of the XML document/message.

For example, you can add a different type of element to an existing schema as long as your addition does not break the original schema. Schemas also have many more available datatypes than do DTDs, making importing and exporting data somewhat easier.

For links to more information about Namespaces and XML Schema Language, see the XML related information.

### Related concepts

“XML tools for i5/OS”

i5/OS integrates XML parsers and an XSL processor for Java right into the operating system. Additionally, you can use a wide variety of free and licensed programs to help you use XML.

### Related reference

“Related information for XML” on page 10

The XML community continually improves existing tools, adds tools, and helps evolve standards and extensions that increase the usefulness and flexibility of XML.

## XML tools for i5/OS

i5/OS integrates XML parsers and an XSL processor for Java right into the operating system. Additionally, you can use a wide variety of free and licensed programs to help you use XML.

## XML parsers and XSL processors integrated in i5/OS

XML parsers and an XSL processor are included with i5/OS. This variety of XML support makes it easier for your application to use XML, especially when the application is written in different languages.

A parser is a tool for parsing, generating, manipulating, and validating XML documents. Each XML parser supports basic functions of one or more programming languages, so you can more easily program applications that use the data contained in the XML documents. Parsers also support one or more APIs, in whole or in part.

i5/OS includes the following parsers and processors:

- “XML parser and XSLT processor for Java”
- “XML for C++ Parser, XSL for C++ Transformer, and XML Interface for RPG and Procedural Languages”

### Additional XML tools

Some of the additional XML tools that are available for free but not integrated into i5/OS include:

- XML Enabler (for the XSL Processor for Java)
- TaskGuide Viewer
- Xeena

### XML licensed programs

Some licensed programs that offer support for XML on the iSeries server are:

- “IBM Toolbox for Java (ReportWriter classes)” on page 9
- “DB2 XML Extender” on page 9
- “IBM WebSphere Host Access Transformation Services” on page 9

#### Related concepts

“XML standards and extensions” on page 4

Other standards and extensions to XML work together to make your information more portable and useful.

“Additional XML tools and programs” on page 8

You can write your programs to take advantage of XML and its extensions and companion standards.

### XML parser and XSLT processor for Java

Some IBM<sup>®</sup> Toolbox for Java packages or functions require that you have an Extensible Markup Language XML parser or Extensible Stylesheet Language Transformations (XSLT) processor in your CLASSPATH environment variable.

See the XML parser and XSLT processor article in the IBM Toolbox for Java topic for information about using XML parsers and XSLT processors with Java.

#### Related concepts

“Additional XML tools and programs” on page 8

You can write your programs to take advantage of XML and its extensions and companion standards.

### XML for C++ Parser, XSL for C++ Transformer, and XML Interface for RPG and Procedural Languages

The XML for C++ Parser and the XML Interface for RPG and Procedural Languages (RPG, C, and COBOL) allow you to parse, create, manipulate, and validate XML documents. The XSL for C++ Transformer allows you to transform XML documents to another format.

Information about using the XML for C++ Parser, the XSL for C++ Transformer, and the XML Interface for RPG and Procedural Languages, as well as samples, can be found in the XML Toolkit for iSeries product documentation.

## Additional XML tools and programs

You can write your programs to take advantage of XML and its extensions and companion standards.

Using additional tools that are not part of the operating system enables you to do this with much less development time and effort.

The XML community provides a steady source of tools and helpful applications for using XML:

- “XML Enabler” (for the XSL Processor for Java)
- “TaskGuide Viewer”
- “Xeena” on page 9

IBM also offers licensed programs that will help you use XML with your iSeries servers:

- “IBM Toolbox for Java (ReportWriter classes)” on page 9
- “DB2 XML Extender” on page 9
- “IBM WebSphere Host Access Transformation Services” on page 9

### XML Enabler

The XML Enabler is a servlet that developers can use with the XSL Processor for Java to put stylesheets in effect in real time. When any browser sends a request to the servlet, it responds with data that it formats using XSL stylesheets configured for specific browser types. In this way, the XML Enabler allows any user of any browser to view and use XML data.

*Any browser* means just that. You do not need an XML-enabled browser because the servlet takes care of that by using XML and XSL technology combined with the information in the HTTP header. After the system administrator defines the mapping between browser types and XSL stylesheets, the servlet does the remaining.

When an HTTP request comes in to the XML Enabler, the following actions occur:

1. The XML Enabler gets the XML document requested by the client (the Web address of that document is passed as a parameter on the Web address).
2. The XML Enabler then looks at the client type, using the user-agent field of the HTTP header, and selects an XSL style sheet. The style sheet selected for each user-agent type is defined by the developer.
3. After the XML document and the XSL style sheet are selected, the XSL Processor for Java combines them, and the servlet returns the output to the client.

### TaskGuide Viewer

TaskGuide Viewer is an XML-based tool for creating wizards that makes building and displaying wizards as easy as creating and viewing HTML files. After you create a wizard script, the TaskGuide Viewer displays the specified panels and follows your instructions.

The TaskGuide Viewer enables you to focus on task content rather than design elements. It offers usability-tested screen layout and navigation options that eliminate the most difficult parts of building wizards: screen layout, navigation, and data management.

For more information, see the TaskGuide Viewer information at the IBM alphaWorks® Web site.

## Xeena

Xeena is a visual XML editor that enables you to visually edit valid XML documents derived from a valid DTD. It is a Java application built on top of Swing and XML Parser for Java.

Use a hierarchical tree view to create, edit, and expand any document derived from a valid DTD. You can edit multiple XML documents and copy, cut and paste from one document into another.

A key feature of Xeena is its syntax-directed editing ability, which ensures that all documents generated are valid with respect to the given DTD. Xeena also helps you insert elements into the tree correctly (with respect to the DTD) by being sensitive to the current selected tree node and refusing to allow you to insert elements in an incorrect order.

For more information, see the Xeena information on the IBM alphaWorks Web site.

## IBM Toolbox for Java (ReportWriter classes)

IBM Toolbox for Java is a set of Java classes that allow you to use Java programs to access data on your servers. You can use these classes to write client/server applications, applets, and servlets that work with data on your server. The IBM Toolbox for Java includes a reportwriter package containing classes that enable your applications to work with XML data.

The ReportWriter classes allow your applications to create formatted documents from XML data sources. Your application defines the document format (layout) by using XSL stylesheets in combination with XSL Formatting Objects and the XML data sources. With ReportWriter classes you can generate documents in the Hewlett Packard Printer Control Language (PCL) format and the Adobe Portable Document Format (PDF).

For more information, see the ReportWriter classes in IBM Toolbox for Java.

## DB2 XML Extender

The DB2 XML Extender is a licensed program that provides data types that you can store XML documents in DB2 UDB for iSeries databases and functions that assist you in working with these structured documents.

You can store entire XML documents in DB2 UDB databases as character data or store them as external files but still manage them by using DB2 UDB. Retrieval functions allow you to retrieve either the entire XML document or individual elements or attributes.

Other features include:

- The ability to extract XML elements and attributes into traditional SQL data types
- The ability to transform existing DB2 UDB data into XML documents
- Storage, retrieval, and updates of XML documents in a single column
- Storage of XML documents as a collection of DB2 UDB data in multiple columns and tables
- DTD management
- Support for international code pages

For more information, see the DB2 XML Extender site.

## | IBM WebSphere® Host Access Transformation Services

- | Through its XML gateway, WebSphere Host Access Transformation Services provides access to existing
- | 3270 and 5250 applications in an XML format for use within e-business applications.

l Additionally, the HTML mapper capability provides an install-and-go HTML entry-level emulator for  
l 3270 or 5250 application access. Without customization, existing 3270 and 5250 applications can be  
l extended as HTML to Web users. This capability is targeted at users who need occasional access to the  
l host application and do not yet have desktops enabled for Java applications.

l For more information, see the IBM WebSphere Host Access Transformation Services Web site.

**Related concepts**

“XML tools for i5/OS” on page 6

i5/OS integrates XML parsers and an XSL processor for Java right into the operating system. Additionally, you can use a wide variety of free and licensed programs to help you use XML.

“XML parser and XSLT processor for Java” on page 7

Some IBM Toolbox for Java packages or functions require that you have an Extensible Markup Language XML parser or Extensible Stylesheet Language Transformations (XSLT) processor in your CLASSPATH environment variable.

ReportWriter classes

IBM Toolbox for Java

**Related information**

TaskGuide Viewer information

IBM alphaWorks Web site

Xeena information

DB2 UDB XML Extender site

IBM WebSphere Host Access Transformation Services

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## Samples

Samples are provided in the included documentation for each XML i5/OS product.

For a complete list of available samples and the locations of the samples, see Samples in the IBM XML Toolkit for iSeries product documentation.

For Java language versions of the XML parser and XSLT processor, and their associated samples, see XML parser and XSLT processor in the IBM Toolbox for Java product documentation.

**Note:** Starting in V5R3M0, the XML parsers QSYS/QXML4C310 and QSYS/QXML4PR310 no longer exist. Instead, use the XML Toolkit for iSeries topic to find the latest XML parsers.

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## Related information for XML

The XML community continually improves existing tools, adds tools, and helps evolve standards and extensions that increase the usefulness and flexibility of XML.

Use the following links to view API documentation for parsers, find help and information for the most current versions of those parsers, and to keep up to date on developments in the XML community.

**Note:** Starting in i5/OS V5R3M0, the XML parsers QSYS/QXML4C310 and QSYS/QXML4PR310 will no longer exist. Instead, use the XML Toolkit for iSeries (5733XT1) to provide the latest XML parsers.

## l Links to tools integrated into i5/OS

l Use the following links to find the most current versions of the parsers and tools integrated in i5/OS:

- l • The XML parser and XSLT processor information in the IBM Toolbox for Java topic provides details about the XML parsers and XSL processors that you can use with IBM Toolbox for Java XML components.
- l • The XML Toolkit provides XML parsers for working with ILE C, RPG, COBOL, and C++.







## Links to XML Web sites

Use the following links to see more information about XML, including introductory information, tutorials, advanced and related materials, evolving XML standards, and XML tools that you can download.

- IBM-sponsored sites:

- alphaWorks 
- developerWorks® XML Zone 

- Other sites:

- W3C - XML 
- xml.apache.org 
- www.xml.org 
- www.xml.com 

### Related concepts

“XML standards and extensions” on page 4

Other standards and extensions to XML work together to make your information more portable and useful.



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## Appendix. Notices

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